

# Key Recovery for LWE in Polynomial Time

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# Structure of this talk

1. Introduce the problem (search-)LWE
2. Polynomial time attack
3. Practical performance
4. Security implications
5. Conclusions

# Definition of LWE

Learning With Errors (Regev, 2005) is a hard computational problem that several homomorphic cryptosystems are based on.

$q = 2^r$  an integer modulus

$n$  an integer,  $\mathbf{s} \in \mathbb{Z}_q^n$  a secret vector chosen uniformly at random

$D_{\mathbb{Z},\sigma}$  (error distribution) the discrete Gaussian distribution centered at 0, with standard deviation  $\sigma$

## Definition 1 (LWE sample)

An LWE sample is a pair  $(\mathbf{a}, t) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$ , where  $\mathbf{a}$  is sampled uniformly at random from  $\mathbb{Z}_q^n$ ,  $e \leftarrow D_{\mathbb{Z},\sigma}$  and  $t = [\langle \mathbf{a}, \mathbf{s} \rangle + e]_q = \langle \mathbf{a}, \mathbf{s} \rangle_q + e \in (-q/2, q/2)$ .

## Definition 2 (search-LWE $_{n,r,d,\sigma}$ )

Given  $d$  LWE samples  $(\mathbf{a}_i, t_i)$ , the problem search-LWE $_{n,r,d,\sigma}$  is to recover the secret vector  $\mathbf{s}$ .

# Security reductions

- When  $q = \text{poly}(n)$ , polynomial time *quantum reduction* from worst-case GapSVP (Regev)
- When  $q = \text{poly}(n)$ , polynomial time *classical reduction* from worst-case of an easier (less studied) variant of GapSVP (Peikert)
- When  $q$  is exponential in  $n$ , polynomial time *classical reduction* from worst-case GapSVP (Peikert)

In all cases the approximating factor is  $\tilde{O}(nq/\sigma)$ . When the approximating factor is polynomial in  $n$ , there is no known algorithm for solving GapSVP in polynomial time.

**Question 1:** What happens in practice when  $q$  is exponential in  $n$ ?

**Question 2:** What happens in practice when  $q$  is “pretty large”?

# Connection to lattices

Let  $\Lambda$  be the  $(n + d)$ -dimensional lattice generated by the rows of the matrix

$$\begin{pmatrix} q & 0 & \cdots & 0 & 0 & 0 & \cdots & 0 \\ 0 & q & \cdots & 0 & 0 & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & 0 & 0 & 0 & \ddots & 0 \\ 0 & 0 & \cdots & q & 0 & 0 & \cdots & 0 \\ \mathbf{a}_0[0] & \mathbf{a}_1[0] & \cdots & \mathbf{a}_{d-1}[0] & 1/2^N & 0 & \cdots & 0 \\ \mathbf{a}_0[1] & \mathbf{a}_1[1] & \cdots & \mathbf{a}_{d-1}[1] & 0 & 1/2^N & \cdots & 0 \\ \vdots & \ddots & \ddots & \vdots & \vdots & \ddots & \ddots & \vdots \\ \mathbf{a}_0[n-1] & \mathbf{a}_1[n-1] & \cdots & \mathbf{a}_{d-1}[n-1] & 0 & 0 & \cdots & 1/2^N \end{pmatrix}.$$

Easy to see:

$$\mathbf{v} = \left[ \langle \mathbf{a}_0, \mathbf{s} \rangle_q, \langle \mathbf{a}_1, \mathbf{s} \rangle_q, \dots, \langle \mathbf{a}_{d-1}, \mathbf{s} \rangle_q, \mathbf{s}[0]/2^N, \mathbf{s}[1]/2^N, \dots, \mathbf{s}[n-1]/2^N \right] \in \Lambda$$

$$\mathbf{u} = [t_0, t_1, \dots, t_{d-1}, 0, \dots, 0] \notin \Lambda \text{ but is close to } \mathbf{v} \text{ if } N \text{ is big}$$

# Connection to lattices

- Assumption: We have access to any number of LWE samples so  $d$  can be anything we want.
- “Simply” solve approx-CVP in  $\Lambda$  with input  $\mathbf{u}$  to find  $\mathbf{v}$  and  $\mathbf{s}$ .
- Method: Find a good basis for the lattice and use Babai’s *nearest planes* method.
- $\dim \Lambda = n + d$  can be huge.
- We might not find the correct vector  $\mathbf{v}$ .

**Problem:** The approximation factor might have to be small so need very good basis (use BKZ-2.0 with large blocksize): exponential time?

# Polynomial time attack

**Claim:** If  $q$  is large enough and  $d$  is chosen appropriately, need to solve CVP only up to exponential factor (in  $n$ )!

- So in certain cases: Run LLL (polynomial time in  $n$ ) and use Babai's method to find candidate for  $\mathbf{v}$ .
- Recovered vector is almost certainly  $\mathbf{v}$ .

**Explanation:** If  $q = 2^{O(n)}$  and  $d$  chosen appropriately, probability of having a lattice vector within some large radius  $< q = 2^{O(n)}$  of  $\mathbf{u}$  can be made to be very small.

- This is a restriction on  $q$ .
- But we know there is one, namely  $\mathbf{v}$ .
- So even if LLL-Babai performs exponentially poorly, it will still find a close vector within this radius, which is very likely to be  $\mathbf{v}$ .

## More precise claim:

Let  $\delta = (1 + (1/2) \log_2 n)/n$  and suppose  $r := \log_2 q > 7(1/2 + \delta)n$ . Let

$$d = \left\lceil \sqrt{(1/2 + \delta)(r + 1)n} \right\rceil.$$

Solve  $\ell_\sigma$  from

$$nd\sqrt{e}2^{r-\ell_\sigma} = \sigma \exp\left(\frac{2^{2r-2\ell_\sigma-1}}{\sigma^2}\right).$$

If

$$(1/2 + \delta)n + 2\sqrt{(1/2 + \delta)(r + 1)n} < \ell_\sigma,$$

i.e.  $\sigma$  is small enough, then  $\text{search-LWE}_{n,r,d,D_{\mathbb{Z},\sigma}}$  can be solved in probabilistic polynomial time in  $n$  by computing an LLL-reduced basis of the given basis of  $\Lambda$  and using Babai's nearest planes method to  $\mathbf{u}$ . The algorithm successfully returns  $\mathbf{v}$  with very high probability. The running time is polynomial in  $n$ .



## Remarks:

- In the proof many very strong approximations are made to guarantee success.
- Does not tell much about practical performance.
- Input basis to LLL has very special form: Performance of LLL-Babai?

## Here is a way of measuring the practical performance:

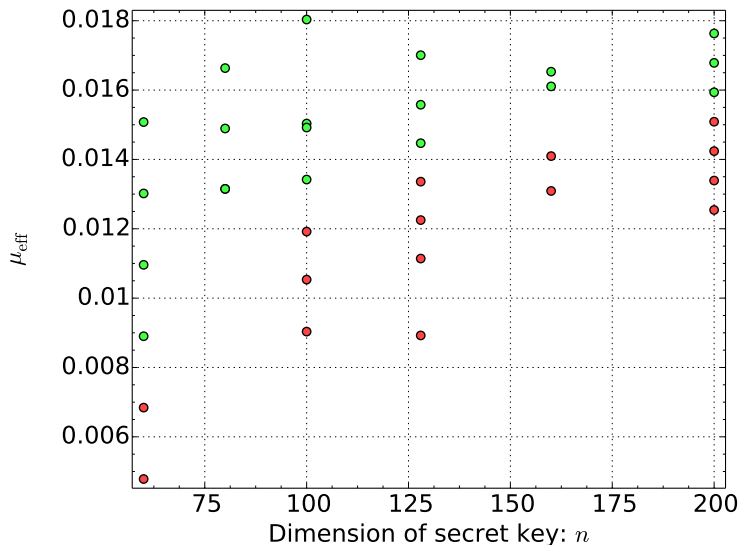
- Guaranteed approx factor in LLL-Babai is  $2^{(n+d)/2}$  (used in proof)
- Instead write  $2^{\mu(n+d)}$  and go through the proof; get a formula for  $\mu$  needed to succeed.
- Run examples and compute the required  $\mu$ . Failed: effectively  $\mu$  was larger; Succeeded: effectively  $\mu$  was smaller.
- Gives an idea of how big effectively  $\mu$  can be expected to be
- Extrapolate behavior of  $\mu$  to larger examples (do they fail or succeed?)

For a particular experiment to succeed, the effective lower bound for  $\mu$  that needs to be realized is given approximately by

$$\mu_{\text{eff}} := \frac{1}{n+d} \left[ -\frac{rn}{d} + r - 1 - \log_2(\lceil \sigma \rceil \sqrt{n+d}) \right]$$

Here are the results as a function of  $n$ :

# Experimental results



Ok, so what can be broken? Here are some examples:

$n$	$d$	$r$	$\sigma$	Time
80	320	16	3.233	1.6h
100	400	20	6.346	6.8h
128	572	23	3.097	24h
160	540	27	3.077	1d 5h
200	550	31	3.049	2d 13h

# Security implications

What happens for larger  $n$ ? Here  $\sigma = 8/\sqrt{2\pi}$ .

$n$	$d$	$r$	$\mu_{\text{eff}}$
512	1388	65	0.0171
1024	2576	120	0.0176
2048	5152	235	0.0184
4096	10104	465	0.0188

Will these succeed or fail?

# Distinguishing attack

- Usually (e.g. Lindner-Peikert, van de Pol-Smart, Lepoint-Naehrig) recommended parameters based on hardness of the distinguishing attack, i.e. distinguishing of valid LWE samples from random data.

## Theorem 3

Compute a basis with root-Hermite factor  $\delta$  for a  $d$ -dimensional  $q$ -ary lattice. Valid LWE samples can be distinguished from random data with advantage (suppose  $d > n$ )

$$\exp \left[ -\pi \left( \frac{\delta^d \sqrt{2\pi} \sigma}{q^{1-n/d}} \right)^2 \right].$$

- Nguyen-Stehle [LLL on the Average]: With LLL expect  $\delta \approx 1.02$
- With  $\delta \approx 1.02$  and  $r$  as large as in the examples above, distinguishing advantage is very high!
- So none of this is surprising: *Distinguishing implies key recovery* but in time proportional to  $q$  (huge!).
- The interesting result is that key recovery can actually be done so easily in these cases.

# Security implications?

## Therefore:

- At least with pure LLL the attack does not threaten commonly recommended secure parameters, but how significantly does performance improve if we improve the basis using other methods?
- In some special applications might want to evaluate very deep circuits homomorphically and need very large  $r$ , small  $\sigma$ .
- E.g. evaluating some block ciphers homomorphically.
- In these cases you really do need a large enough  $n$ .

**Security of LWE based cryptosystems depends very strongly on the parameters.**

Thank you!