

On Weighted Graphs Yielding Facets of the Linear Ordering Polytope

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Definition

For any finite set Z ,

- ▶ for $R \subseteq Z \times Z$, the vector x^R is the characteristic vector of R , that is,

$$x_{i,j}^R = \begin{cases} 1 & \text{if } (i,j) \in R \\ 0 & \text{otherwise} \end{cases}$$

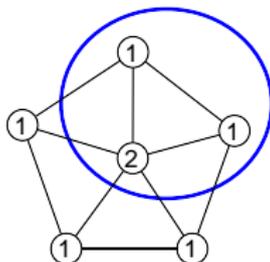
- ▶ the **linear ordering polytope** $P_{LO}^Z \subset \mathbb{R}^{Z \times Z}$ is

$$P_{LO}^Z = \text{conv}\{x^L : L \text{ linear order on } Z\}$$

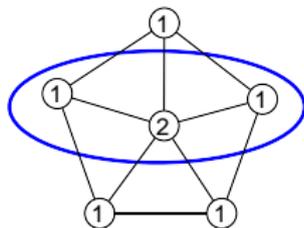
Definition

For a vertex-weighted graph (G, μ) and $S \subseteq V(G)$,

- ▶ $\mu(S) := \sum_{v \in S} \mu(v)$ (weight of S)
- ▶ $w(S) := \mu(S) - |E(G[S])|$ (worth of S)
- ▶ $\alpha(G, \mu) := \max_{S \subseteq V(G)} w(S)$
- ▶ S is **tight** if $w(S) = \alpha(G, \mu)$



- ▶ weight = 4
- ▶ worth = 1



- ▶ weight = 4
- ▶ worth = 2
- ▶ tight

Suppose

- ▶ (G, μ) is any weighted graph
- ▶ Y is a set s.t. $|Y| = |V(G)|$ and $Y \cap V(G) = \emptyset$
- ▶ $f : V(G) \rightarrow Y$ is a bijection
- ▶ Z is a finite set s.t. $V(G) \cup Y \subseteq Z$

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Definition

- ▶ The **graphical inequality** of (G, μ) , which is valid for P_{LO}^Z , is

$$\sum_{v \in V(G)} \mu(v) \cdot x_{v, f(v)} - \sum_{\{v, w\} \in E(G)} (x_{v, f(w)} + x_{f(v), w}) \leq \alpha(G, \mu)$$

- ▶ (G, μ) is **facet-defining** if its graphical inequality defines a facet of P_{LO}^Z

Suppose

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- ▶ (G, μ) is **facet-defining** if its graphical inequality defines a facet of P_{LO}^Z

N.B. (G, μ) being facet-defining is a property of the graph solely, i.e. it is independent of the particular choice of Y , f and Z

A characterization of facet-defining graphs

Definition

- ▶ For any *tight set* T of (G, μ) , a corresponding affine equation is defined:

$$\sum_{v \in T} y_v + \sum_{e \in E(T)} y_e = \alpha(G, \mu)$$

- ▶ The **system** of (G, μ) is obtained by putting all these equations together

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Theorem (Christophe, Doignon and Fiorini, 2004)

(G, μ) is facet-defining \Leftrightarrow the system of (G, μ) has a unique solution

- ▶ Basically rephrases the fact that the dimension of the face of P_{LO}^Z defined by the graphical inequality must be high enough
- ▶ We lack a 'good characterization' of these graphs...

A few results

(assuming from now on that all graphs have at least 3 vertices)

Definition

G is **stability critical** if G has no isolated vertex and $\alpha(G \setminus e) > \alpha(G)$ for all $e \in E(G)$

Theorem (Koppen, 1995)

$(G, \mathbb{1})$ is facet-defining $\Leftrightarrow G$ is connected and stability critical

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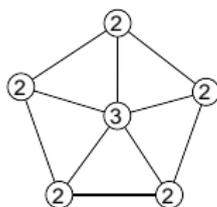
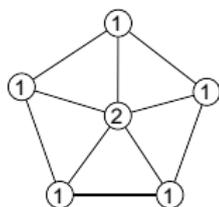
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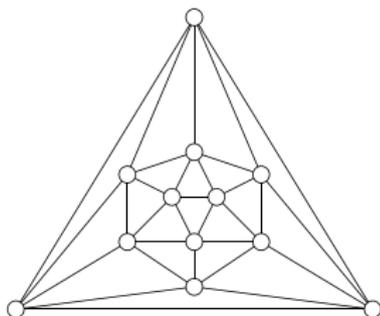
Theorem (Christophe, Doignon and Fiorini, 2004)

(G, μ) is facet-defining \Leftrightarrow its 'mirror image' $(G, \deg - \mu)$ is facet-defining



Definition

- ▶ The **defect** of G is $|V(G)| - 2\alpha(G)$



a stability critical graph

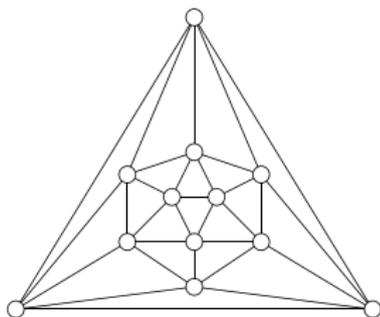
$$|V(G)| = 12$$

$$\alpha(G) = 3$$

$$\rightarrow \text{defect} = 6$$

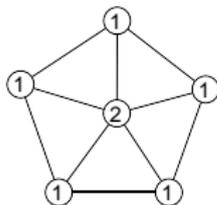
Definition

- ▶ The **defect** of G is $|V(G)| - 2\alpha(G)$
- ▶ The defect of (G, μ) is $\mu(V(G)) - 2\alpha(G, \mu)$



a stability critical graph

$$\begin{aligned} |V(G)| &= 12 \\ \alpha(G) &= 3 \\ \rightarrow \text{defect} &= 6 \end{aligned}$$



a facet-defining graph

$$\begin{aligned} \mu(V(G)) &= 7 \\ \alpha(G, \mu) &= 2 \\ \rightarrow \text{defect} &= 3 \end{aligned}$$

Theorem

- ▶ *The defect δ of a connected stability critical graph G is always positive* (Erdős and Gallai, 1961)
- ▶ *Moreover, $\delta \geq \deg(v) - 1$ for all $v \in V(G)$* (Hajnal, 1965)

Theorem

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- ▶ *Moreover, $\delta \geq \deg(v) - 1$ for all $v \in V(G)$* (Hajnal, 1965)

Theorem (Doignon, Fiorini, J.)

- ▶ *The defect δ of any facet-defining graph (G, μ) is positive*
- ▶ *(G, μ) and $(G, \deg - \mu)$ have the same defect*
- ▶ *For all $v \in V(G)$, we have*

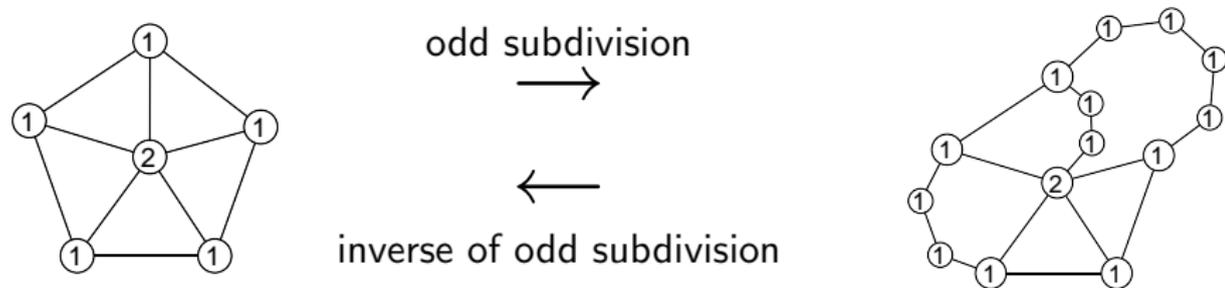
$$\delta \geq \deg(v) - \mu(v) \geq 1$$

and, because of the mirror image, also

$$\delta \geq \mu(v) \geq 1$$

Odd subdivision

Here is an extension of a classical operation on stability-critical graphs:

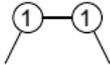


Theorem (Christophe, Doignon and Fiorini, 2004)

The odd subdivision operation and its inverse keep both a graph facet-defining. Moreover, the defect does not change

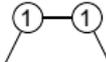
Lemma

An inclusionwise minimal cutset of a facet-defining graph cannot span " \circ " or " \circ — \circ "

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Definition

A facet-defining graph is **minimal** if no two adjacent vertices have degree 2

Classification of stability critical graphs

Theorem (Lovász, 1978)

*For every positive integer δ , the set \mathcal{S}_δ of minimal connected stability critical graphs with defect δ is **finite***

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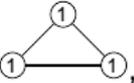
*For every positive integer δ , the set \mathcal{S}_δ of minimal connected stability critical graphs with defect δ is **finite***

Research problem

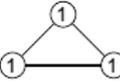
Is there a finite number of minimal facet-defining graphs with defect δ , for every $\delta \geq 1$?

- ▶ It turns out to be true for $\delta \leq 3$
→ an overview of the proofs is given in the next few slides
- ▶ The problem is wide open for $\delta \geq 4$

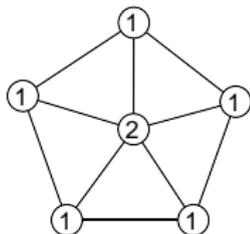
Notice first that the only minimal facet-defining graph with defect

$\delta = 1$ is , because $\delta \geq \mu(v) \geq 1$

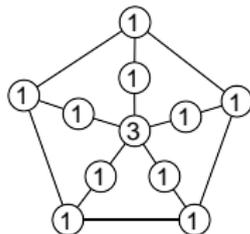
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Let's look at another operation:



subdivision of a star
→



Theorem

The subdivision of a star operation keeps a graph facet-defining. Moreover, the defect does not change

Definition

(G_1, μ_1) and (G_2, μ_2) are **equivalent** if one can be obtained from the other by using the

- ▶ odd subdivision
- ▶ inverse of odd subdivision
- ▶ subdivision of a star

operations finitely many times.

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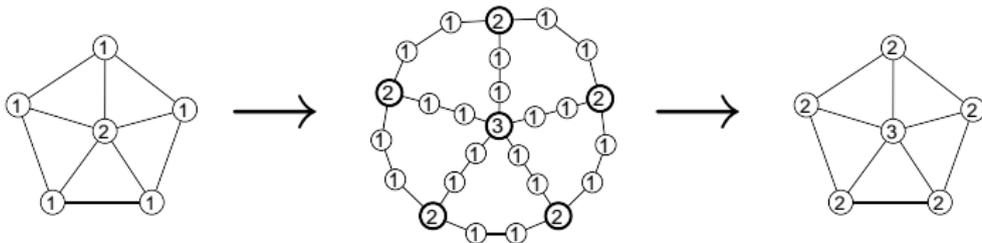
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Notice

- ▶ two equivalent graphs have the same defect
- ▶ (G, μ) and $(G, \deg - \mu)$ are equivalent:



Facet-defining graphs with defect 2

Recall

$$\begin{cases} \delta \geq \mu(v) \geq 1 \\ \delta \geq \deg(v) - \mu(v) \geq 1 \end{cases}$$

for any vertex v of a facet-defining graph with defect δ

$$\Rightarrow \deg(v) \leq 2\delta$$

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$\deg(v) \leq 2\delta - 1$ for any vertex v of a facet-defining graph with defect $\delta \geq 2$

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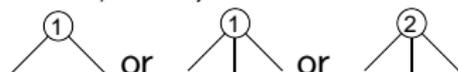
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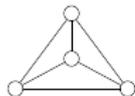
Thus, every vertex of a facet-defining graph with defect 2 is either



\Rightarrow Any facet-defining graph with defect 2 is equivalent to some stability critical graph

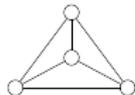
Theorem (Andrásfai, 1967)

The only minimal connected stability critical graph with defect 2 is



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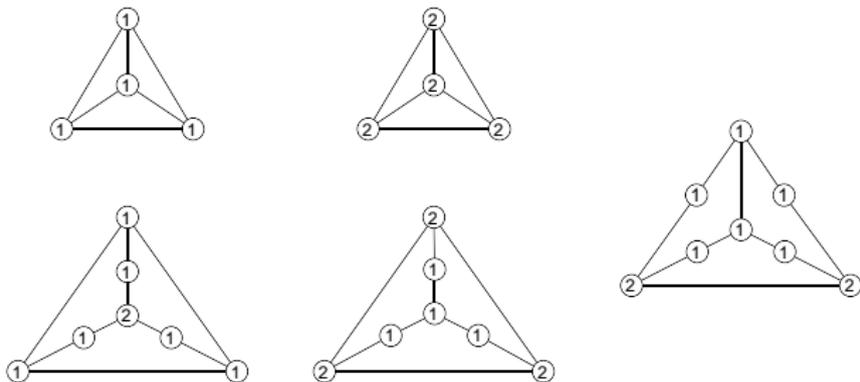
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→ we derive:

Theorem

There are exactly five minimal facet-defining graphs with defect 2:



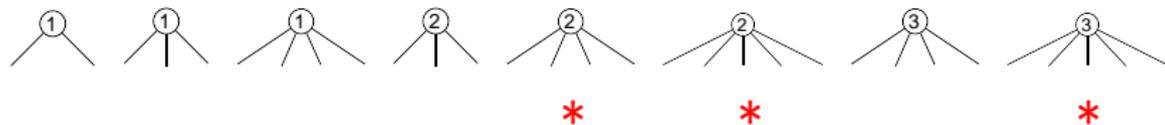
Facet-defining graphs with defect 3

By previous bounds, any vertex falls in one of these cases when $\delta = 3$:



Facet-defining graphs with defect 3

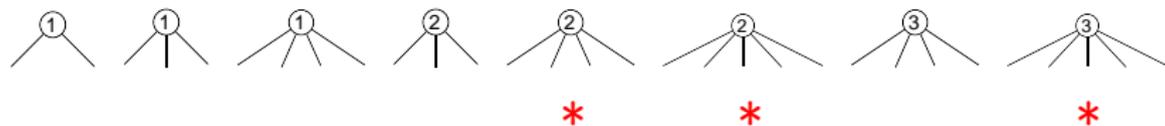
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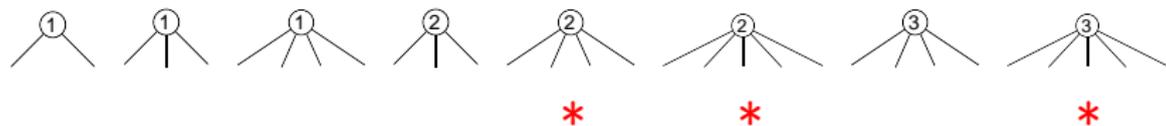
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Definition

a (p, q) -vertex is a vertex with weight p and degree q

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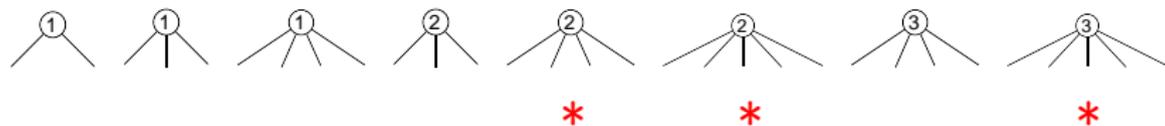
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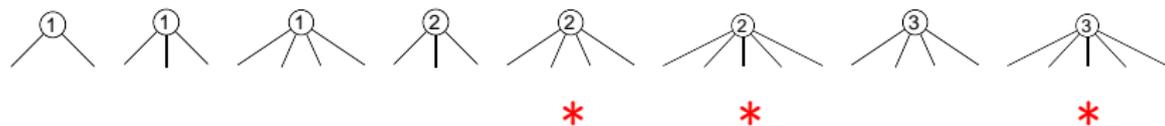
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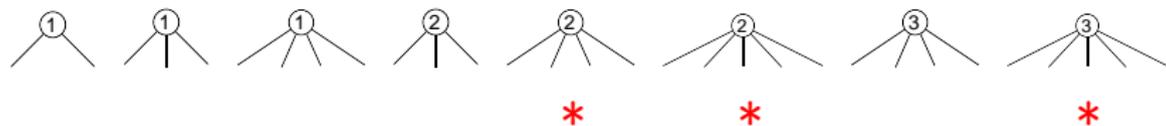
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- ▶ By the subdivision of a star operation, w.l.o.g. \nexists $(2, 3)$ -, $(3, 4)$ -, or $(3, 5)$ -vertices in (G, μ)

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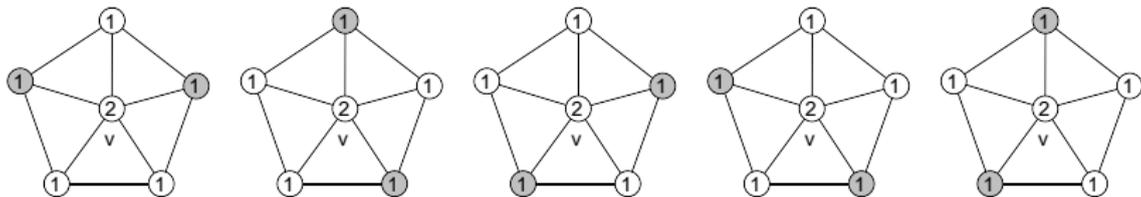
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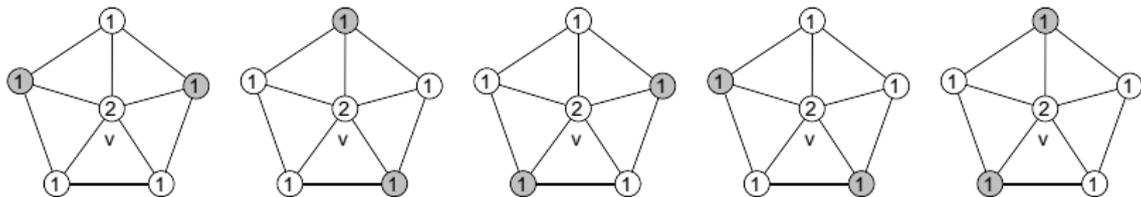
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- ▶ By the subdivision of a star operation, w.l.o.g. \nexists $(2, 3)$ -, $(3, 4)$ -, or $(3, 5)$ -vertices in (G, μ)
- ▶ Main issue: how to get rid of the $(2, 4)$ -vertices and $(2, 5)$ -vertices?

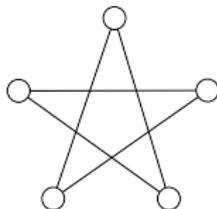
Suppose v is a $(2, 4)$ - or $(2, 5)$ -vertex and look at those tight sets including exactly two neighbors of v but avoiding v :



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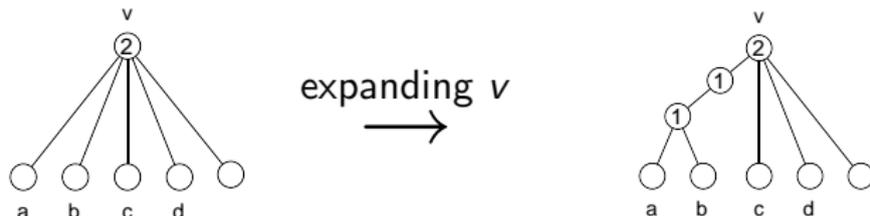


→ defines a graph on the neighborhood $N(v)$ of v , denoted H_v :



Expanding a vertex

Assume $\exists a, b, c, d \in V(H_v)$ s. t. $\{a, b\} \in E(H_v)$ and $\{c, d\} \notin E(H_v)$

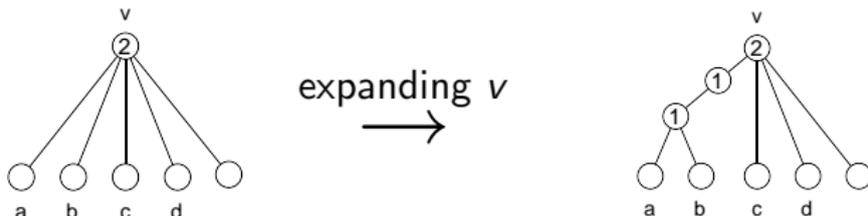


Lemma

- ▶ *Expanding v keeps (G, μ) facet-defining and does not change the defect*
- ▶ *Any $(2, 5)$ -vertex of (G, μ) is expandable*

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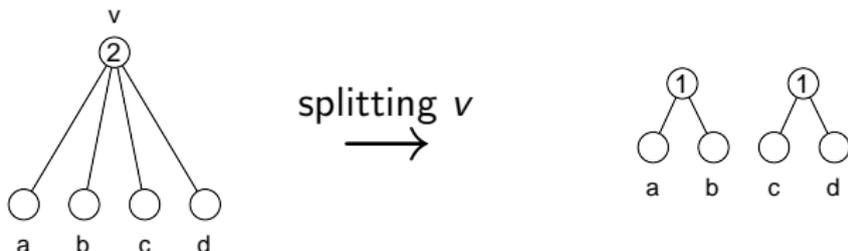
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- ▶ *Expanding v keeps (G, μ) facet-defining and does not change the defect*
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→ w.l.o.g. (G, μ) has no expandable vertices, as expanding a vertex increases the number of vertices with degree at least 3

Splitting a vertex

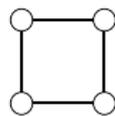
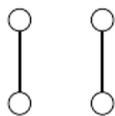
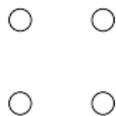
Suppose that v is a $(2, 4)$ -vertex and that $\{a, b\}, \{c, d\} \notin E(H_v)$



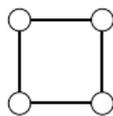
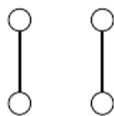
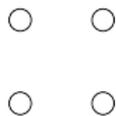
Lemma

- ▶ *Splitting v keeps (G, μ) facet-defining and does not change the defect*
- ▶ *Every nonexpandable $(2, 4)$ -vertex is splittable*

Assume now that v is a nonexpandable $(2, 4)$ -vertex. As v is splittable, H_v is isomorphic to one of these 3 graphs:



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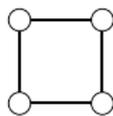
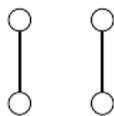
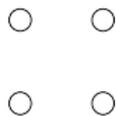
v is "thin"

v is "thick"

Lemma

- ▶ v must be thin or thick, i.e. H_v cannot be isomorphic to the leftmost graph
- ▶ (G, μ) has at most 5 thick vertices

Assume now that v is a nonexpandable $(2,4)$ -vertex. As v is splittable, H_v is isomorphic to one of these 3 graphs:



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Lemma

- ▶ v must be thin or thick, i.e. H_v cannot be isomorphic to the leftmost graph
- ▶ (G, μ) has at most 5 thick vertices

→ it remains to show that (G, μ) has not too many thin vertices...

Key lemma

(G, μ) has at most $\frac{3}{2}N$ thin vertices, where N is the number of vertices with weight 1 and degree at least 3

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- ▶ Iteratively split every vertex of (G, μ) which is thin or thick until there are no more left

Key lemma

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- ▶ Iteratively split every vertex of (G, μ) which is thin or thick until there are no more left
- ▶ The resulting graph is a connected stability graph with defect 3, with exactly N vertices of degree at least 3

Key lemma

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Thus we obtain:

Theorem

There is a finite number of minimal facet-defining graphs with defect 3

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Thank you!