

Internet Voting Possibilities and Perils

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- ✓ Part 1: Historical Voting/Security Practices
 ✓ Break
- \checkmark Part 2: Perils.
- ✓ Break
- ✓ Part 3: Solutions, Techniques and Practices



- ✓ Significant differences in voting performance due to race, socio-economic status and disabilities.
- ✓ Non-Electronic processes lead to significant and sometimes systematic disenfranchisement.



A Brief History

- ✓ Greece: Stones
- ✓ Early US: Limited suffrage & non-secret ballots
- ✓ Edison: Electronic Voting Machine
- ✓ Lever Machines
- ✓ Punchcards
- ✓ Direct Recording Electric (DRE)



T. A. EDISON. Electric Vote-Recorder.

No. 90,646.

Patented June 1, 1869.

Technology is not the only problem

□ Cook county card undercount variation .75 % to 39% , non random!

Paper	1.8%
Punch Card	2.5
Optical Scan	1.5
Lever Machine	1.5
DRE	2.3

- States rely on many different technologies.
- Sometimes, technology is deployed differentially.









Non-coordinated registrars

- ✓ HAVA "drive thru" registrations with DMV
 ✓ No Registration
 - ✓ Paper Rolls
 - ✓ Databases
 - \checkmark Some ID required, some ID prohibited
- ✓ No Checks!

TD

Problems have not been voter verifiable

✓ Brevard 4000 Back end software ✓ Volusia 16022 Back end software ✓ Boone County 10000 Back end software \checkmark Washington State Altered paper ballots \checkmark Dallas Destroyed paper ballots \checkmark Many places **Replaced paper ballots** Not close enough to recount ✓ Georgia ✓ Indiana User interface (Straight vote) ? \checkmark Recent Republican Shown on UI \checkmark Mail in Absentee No secrecy



Coercion?

- U We disagree so lets neither vote
 - $\checkmark\,$ 15 years later one spouse had been voting all along
- Ballot marking parties at churches
- U We like this guy
 - $\checkmark\,$ Said a 45 year old child to their parent in a voting booth
- Nursing homes
 - ✓ *They* have a right to vote
- Palm cards
- Precinct Captain
- Ballot layout
- Order on Ballot
- Stand in voters

- •Humiliation,
- •intimidation,
- •hand over hand voting
- Misinformation
- •Parallax and other physical access –(arm extension)



Renewed belief in government!!

□ Electronic voting; 96, 98, 2000

- ✓ 96 Unisys 7% failure
- ✓ 98 Procomp
- ✓ 2000 Procomp .02% failure 106,000,000 votes

Trusted Scientific organization

 \checkmark Create requirements

Trusted Technical organization

✓ Create reference platform

Companies (5)

 \checkmark Create demonstratable products for bid

Government election officials

 \checkmark Create open viewing and decision of vendor $_{5/21/04}$







Technologies to improve voting

- ✓ Electronic Security
 - ✓ SAVE: N-Version Architectures
 - \checkmark Closed systems: (game machines with CD)
- ✓ Ballot Design
 - \checkmark Orienting design with feedback
 - \checkmark Knowledge based tool for improving ballot design
- \checkmark Verification
 - ✓ Frog
 - \checkmark Audio Verification
 - \checkmark Analysis of VVPT
- \checkmark Registration
 - \checkmark Open information XML registration checker



What Needs To Be Done

- \checkmark Future of fraud prevention:
 - \checkmark Policies
 - \checkmark Practices
 - \checkmark Architectures
- ✓ Polling places outdated?
- \checkmark Voting information is changing
- \checkmark New voting approaches are being explored
 - ✓ Cell phone, Interactive TV, Kiosk, extended hours, vote by mail
 - \checkmark Same day registration
 - ✓ Instant runoff
 - ✓ Compulsory voting
 - \checkmark Direct democracy



Bad ballot design gave highest error rates

eta

OFFICIAL BALLOT PALM BEACH

http://cum



NOVEM SPECIAL MUNICIPAL ELECTION ELECTION OF TOWN COUNCILOR M.S.A.D. NO. 51 DISTRICT REFERENDUM NOVEMBER 6, 2001 INSTRUCTIONS TO VOTER D If you we **TOWN OFFICE** PAT BUCHANAN. **EZOLA FOSTER** . vi ARTICLE II: "Shall the school directors of School A ssue bonds or notes in anticipation thereof in the pa MARY CAL HOLLIS . vi

(b) The SAD No. 51 School Board and Fit

TURN PAGE TO CONTINUE VOTING

Ted Selker © 2002, MIT

	Perceptual ✓ Graphical		
	✓ View ability,Color, contrast, size,		
	 Readability, Distinctions, Distinguishably 		
	✓ Precognitive, cognitive,		
	✓ Feedback; Proprioceptive,		
	Cognitive Interface		
	 Precognitive recognition issues, Recognition VS R 	ecall (except when conflictin	g) MARY CAL HULLIS - WICE PRESIDENT
	✓ Short term memory 7 +- 2 (in 2 d), depth of int	fo 2 or three	
	✓ Cognitive load, syntactic, semantic. bored	. overloaded	
	Social issues (SDCIALIST WORKERS)		
	✓ You are doing Great		
	✓ Your Vote Maters		
	✓ Androgynous Voice		
	Cognitive Styles		
	✓ Verbal/ Visual		
	✓ Procedural/Conceptual		
	✓ Myers Briggs		
	\checkmark Physical, perceptual, psychological, neurological		



Software Testing Questions

• When to worry about what problems \checkmark Current processes uninformed and uneven Code build to change ballot? Bugs found/fixed within weeks of elections? Additional Machine rooms open, ... Trust LEO chosen experts on software? \checkmark Don't know any \checkmark Don't take them seriously Do code reads really help ✓ Hidden code? Does sharing product code with public help

✓ Encourage hacking?



- **G** Some election companies have one technologist...
- Time on voting machines can be changed
- Standard Socketed EPROM's, cables without seals, ...
- Reboot problems,
- Connectors effect vote
- **Practice or real election?**
- Training voters on live machines (Broward 2003)
- Optical scan
 - \checkmark Alignment problems normal
 - \checkmark Jamming normal
 - \checkmark Security of ballots:
 - \checkmark hands in box, exchange, storage, disposal, defacing



Diebold not alone in problematic programming practices

- \checkmark US Voting technology marketing driven
- \checkmark Economics of voting technology
- \checkmark Security Experts in demand elsewhere
- Election officials self taught
- Election companies are obvious consultants on elections
- Experts, peer review, (building and running)



- ✓ What Historical precedents in Voting are important to keep and which should we change?
- How important is secrecy of the ballot? It was not always secret.
- ✓ How can we learn from fraud patterns in the past to perhaps yield improved detection?





- ✓ Machines now are not generally physically secure.
- \checkmark Warehouses store thousands of voting machines
- Pre-Election testing is unable to find bugs/security breaches if hardware is compromised



User Interfaces

- ✓ Currently: Horrible
- \checkmark However, they prevent things like overvoting
- ✓ Feedback timing
 - \checkmark Currently often not immediate
 - ✓ Many voters ignore feedback



Disenfranchisement

✓ Large Text Ballots (low vision)
 ✓ Assisting in filling out (nursing homes)
 ✓ Physical disabilities



Internet Voting Perils



- \checkmark Security of the Ballot
- \checkmark Secrecy of the Ballot
- \checkmark Coercion of voters
- ✓ Denial of Service
- \checkmark Potential for large scale, undetected fraud
- \checkmark => Loss of Confidence in System



Ballot Security Issues

✓ Pre-submitted ballot
✓ Uncontrolled environment
✓ Uncontrolled equipment



Mistakes and fraud

Protection, detection & correction
□Observation, Confidentiality, Redundancy
□Universal verifiability
✓Voter verifiable results verifiability
□ COTS good or bad?



- ✓ If a ballot is on a remote machine, with no security, who makes sure that people do not know how a user voted?
- ✓ Internal threats: software/viruses
- ✓ External threats: tempest



Coercion Issues





✓ Door to door grassroots vote buying
 ✓ Internet based vote buying
 ✓ Spouse/parent influence
 ✓ More nefarious influence (blackmail, intimidation)



- \checkmark Individual machines can be targeted
 - ✓ Virus: mac only... mac owners more likely to be democrats...
 - ✓ Inexperienced users could not deal with a DOS attack
 - Experienced users may not be able to recover in time.
 - \checkmark Proof of disruption to computer



 Voting collection/administration machines could be attacked

- \checkmark DOS attack prevents and frustrates voters
- \checkmark Undermines confidence in system





✓ Electronic voting equipment is already getting a bad rep

 \checkmark Diebold

 Administrators jumping into new technology too quickly, resulting in a backlash.



The BIG Problem:

Large scale, undetectable fraud.

5/21/04



So what do we do?



✓ Apply Technology, practice, and oversight

- Provide Voter Verification as a fallback, and as a confidence building measure
- ✓ Use the advantages of electronic voting such as fast tabulation, and usability improvements
- ✓ Use security techniques EFFECTIVELY
- \checkmark Move slow enough to get it right



Technology and practices Each useful in different situations

Technology

✓ Encryption, Public key, N-version, hardened systems...

Practices

✓ Military, Security industry, governments, banks,...

Oversight

 \checkmark Expert review

✓ Redundancy

✓ Open source



Verification goal = Air-Gapping

Alternatives: Votemeter, modular architecture, encrypted votes, open source, process, standards, VVPT

- Video

insecure

available now

available now

- Votematic
- **N**-Version

needs development

needs development












Software problems have been routed in process

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Voter Verification



- Many experts, particularly outspoken are Rebecca Mercuri, David Dill and Avi Rubin, claim that Voter Verifiable Paper Trails (VVPTs) are the only means of ensuring that a vote is cast and counted properly
- ✓ A VVPT is a receipt produced by a DRE that records the votes in human readable and tangible form.



The "Buzz" on VVPT

- ✓ Many experts claim that Voter Verifiable Paper Trails (VVPTs) are the only means of ensuring that a vote is cast and counted properly
- ✓ Laziness aside (Chicago), VVPTs are confusing.
 - \checkmark Delayed feedback is too late to do something about failures
 - ✓ Having to compare two potentially different looking documents is confusing
- \checkmark Printers are prone to failure
- \checkmark Fraud still possible

Problems with a separate paper trail.

People cannot verify their receipts: Chicago (2002, 2003)

- \checkmark No way for ballot worker to help
- ✓ Connection broken
- \checkmark Paper out
- ✓ Paper Jam
- ✓ Ink out
- Printer broken
- \checkmark Paper looks different
- \checkmark Different format than DRE
- \checkmark Separate thing to look at

- \checkmark Extra time & step for voting
- ✓ Lighting, readability
- ✓ Special needs (Dyslexia, ADHD, blind)
- \checkmark Extra steps for ballot worker
- \checkmark Collecting the ballots
- \checkmark Ballots could be exchanged
- \checkmark Re-voting a machine at end of day
- ✓ Rereading ballots



Hacking a VVPT

Hack vote and print almost readable receipt 1 in 50

□ 1 in 10 people that see that do anything (Chicago)

- □ 1 in 500 (one per precinct sees this problem)
 - \checkmark Print again it fixes itself
 - \checkmark Call a judge first time in the day at that polling place

 \checkmark They say print it again -it fixes itself

 \checkmark They come into the booth $% i=1,2,\ldots,2$ -lyikes they are arrested!

 \checkmark They shut down the booth <code>-!yikes</code> only a few machines



An Audio Audit trail

with today's DRE hardware

Stored on a tape and spoken from it (built in integrity)
 Speaks each selection (perceptual not memory task)
 Advantages

- \checkmark machine verifiable,
- \checkmark improves user interface,
- \checkmark voting box integrity, storability, transportability



Camera Audit Trail

Can be done now

Camera or video cable record screen as you do it.
You see the feed on a non computer screen
Record on a tape or CD
Advantages to VVPT

 \checkmark Ballot box integrity, verify as you go, machine readable



Votemeter audit trail

System would have to be built

Separate machine with code from others
 Shows same ballot selections as made
 Records them separately
 Advantages

 Machine readable
 Ballot box integrity
 Usability



N-version audit trail

□ Voter UI Client Software

 \checkmark Bitmap is the only shared thing in system

□ Voter Authentication Software

✓ Multiple competing authentication systems must agree

□ Voter Aggregating Software

✓ Multiple competing aggregating systems must agree

□ Vote verification Software

 \checkmark While anonymous voter can view vote, later that it is there



UI, registration, witness and aggregator layers...



5/21/04



Internet Voting Techniques



- 1. True Vote from Home voting
- 2. Schoolhouse/precinct voting



Expanded opportunity for enfranchisement
 More flexibility than precinct voting
 More languages
 More specific adaptations for disabilities:

 Reading Disabled, Low Vision
 Tactile Interfaces, Audio Interfaces



- \checkmark What UI improvements can help
 - \checkmark Level the playing field for candidates (drop off)
 - ✓ Reduce undervoting
 - ✓ Reduce disparities associated with socioeconomic status



- \checkmark 1. Each eligible voter shall be allowed to vote at most once.
- ✓ 2. Every vote cast must be counted accurately
 ✓ 3. No vote cast must be traceable back to an individual.



 \checkmark Public and Symmetric Key cryptography. \checkmark PKI: Smartcards for everyone? \checkmark AES? Not for our purposes ✓ Signatures ✓ FIPS 186-2 Secure Digital Signatures ✓ Secure Hashes (MD5, SHA-1) ✓ Blind Signatures ✓ Homomorphic Encryption



✓ RSA Standard:

- $\checkmark \text{Good}$ key length 2048 bits
- ✓ Not proven to be secure, but it has withstood scrutiny, with no known cracks (relies on the difficulty of factoring primes)
- \checkmark Slow
- ✓ Depends on Public Key Infrastructure (PKI)





 \checkmark Advanced Encryption Standard.

- \checkmark New, intense scrutiny, symmetric block cipher.
- ✓ Key material is symmetric so it is not a good idea to put that in voting equipment.



- ✓ Smartcards are credit card sized devices that contain a chip that contains a private signing key.
- ✓ All computation is performed ON the card, so you do not give out your key to other hardware
- ✓ Power analysis lets you read off the key in real time. (VERY BAD)



- ✓ Fujuoka, Okamota, Ohta Blind Signature Scheme
- \checkmark Take a message, and a piece of carbon paper
- \checkmark Put them into an envelope
- \checkmark Sign the outside of the envelope
- \checkmark Put the envelope in a bin
- ✓ Remove the envelope and the signature is on the message inside.



Crypto Weaknesses

 ✓ Key Length, while commonly considered vital, tends to be an easy problem to deal with
 ✓ Cipher mode : ECB/CBC VITAL
 ✓ Key Material: Good randomness
 ✓ Key security (physical security vital)



Coercion Solutions





- Coercion is a huge problem for internet voting
 Can't have a person in every house ensuring no coercion.
- ✓ Solutions: Allow internet voting from monitored/public locations(schools, libraries).



Coercion - Fundamental Problem

- ✓ Coercion is a fundamental problem with mail-in balloting anyways, so we can not do worse.
- Solution impossible without differential information(which must be distributed to the voter directly, in person)



- \checkmark Take a plaintext message
- ✓ Hash it (using a secure hash algorithm such as SHA-1)
- \checkmark Encrypt the plaintext using private key
- ✓ Verification: decrypt signature and compare to hash of message.
- Message cannot change without disrupting the hash of the message and the signature is secure.



Chaum Method

- o Specialized Printers
- o Use a bitmap of ballot, encoded text.
- o 2 sheets: keep one, it proves nothing (cryptographically), but can be used to verify vote in the final tally.
- o Voter has a verifiable receipt that does not prove how she/he voted.





- \checkmark Tangible votes
- ✓ "Frog" because the medium is not important✓ Discs
 - ✓ Paper
 - \checkmark Smartcards
- \checkmark People can see, feel, touch it.



The SAVE Voting System

N-version programming + crypto



A Proposal for a Better System

- ✓ The SAVE (Secure Architecture for Voting Electronically) Architecture
- ✓ No Single point of failure voting (except the voter of course)



- ✓ N-version programming: do not trust any one company/group/person.
- Cryptographic protocols:
 Blind Signatures
 - \checkmark Public Key encryption
 - ✓ Mix-Nets (secure shuffle)





Voting

✓ 2 possibilities:@ home, @ precincts
✓ And 2 variants: PC / Playstation
✓ @ precincts is easier to secure
✓ @ home presents inherent problems of the untrusted myriad environments possible.
✓ System implemented could be either PC or

"Playstation" model.





- ✓ Software must be loaded on the PC(presently it would be the JRE, keys and the user interface)
 ✓ Steps must be taken to ensure that nothing on the computer can see what the user is doing(this
 - is hard)



Playstation Model

- ✓ Send out CDs that can be loaded into a playstation, now we can run without a real OS
- \checkmark Perhaps we could do this for PCs?
- \checkmark This approach is better for security, less likelihood for monitoring, but it could still be done.
- ✓ Introduces the problem of writing drivers for modems and other devices.





✓ FEEDBACK!!!!!!!!!



Visualize the State of the Ballot:
 What has been done (including choices)
 What has to be done
 Confirm Abstentions

✓ Review Ballot before Submitting


Tabs Indicate Selections

A Drasidant	Plance make your coloction for Provident	
	Please make your selection for Plesident	
Eashion God	Unck on a candidate's name to select them,	
	Your selection will be indented and darkened	
	GEORGE W BUSH	
	RALPH NADER	
	No Choice	
	Write In	



😹 FULTON County,MA		<u>_ 🗆 ×</u>
	Please make your selection for President Click on a candidate's name to select them, or click on a selected candidate to de-select them. Your selection will be indented and darkened.	
	AL GORE[SELECTED]	
	GEORGE W BUSH	
	RALPH NADER	
	No Choice	
	Write In	
/0		



	😹 FULTON County,MA		_ 🗆 ×
	President: AL GORE US Senator: No Choice Fashion God	Please make your selection for US Senator Click on a candidate's name to select them, or click on a selected candidate to de-select them. Your selection will be indented and darkened	
		Edward Kennedy	
		Jackie Robinson	
		Loony Independent	
		Write In	
/04	I'm Finished		



- ✓ Aggregator servers' public keys and sent off along with the registration data to the registration server.
- ✓ Registration database must be kept on an accessible server, which can be queried from the outside.
- ✓ The Registration Servers should never receive a plaintext vote.
 Blind Signatures are the best solution.



- ✓ We allow for "witness" modules, that can be in the form of smartcards(preferable) or merely additional modules.
- ✓ Witnesses receive a hash of the ballot and produce a time stamped(to ensure uniqueness) digital signature for that ballot.





- \checkmark Decrypt the outer ballot package.
- \checkmark Verify the signatures of the registration server, as well as the witness signers.
- ✓ Decrypt the inner ballot package, which actually contains the plaintext ballot.
- ✓ Randomly verify hashes of the incoming ballots with other servers, but do a full verification afterwards.





Automatic and Manual Rule-Based Layout
 Enforces legal requirements

- ✓ Ensure uniformity
- \checkmark Account for cognitive differential correction.
- ✓ Standard language (BDL-XML) IEEE 1622



Conclusions

 \checkmark Internet voting, in some form, is coming.

- ✓ Steps need to be taken to make sure that the first generation is done right
- ✓ Oversight, standards, and rigorous review are necessary to inspire trustworthiness



Our Recommendations

✓ Prohibit remote (home) internet voting

- ✓ Promote schoolhouse voting with an internet infrastructure
 - \checkmark Redundancy
 - \checkmark End to end security
 - \checkmark UI advantages



- ✓ IEEE 1583 Voting Equipment Standard
- ✓ IEEE 1622 Voting Data Interchange Standard
- ✓ Incorporate data security standards as they improve or are proved insufficient
 - ✓ FIPS 186-2,3
 - ✓ ANSI X9
 - ✓ IETF
 - \checkmark FIPS Key management standard under development





A "Friendly" Warning

We get one chance in a generation, or we will be back to optical scan



Acknowledgements

MIT Caltech Voting Technology Project
 Carnegie Foundation
 Professor Ted Selker
 Professor Steve Ansolabehere